

A Theory of Functional Programming

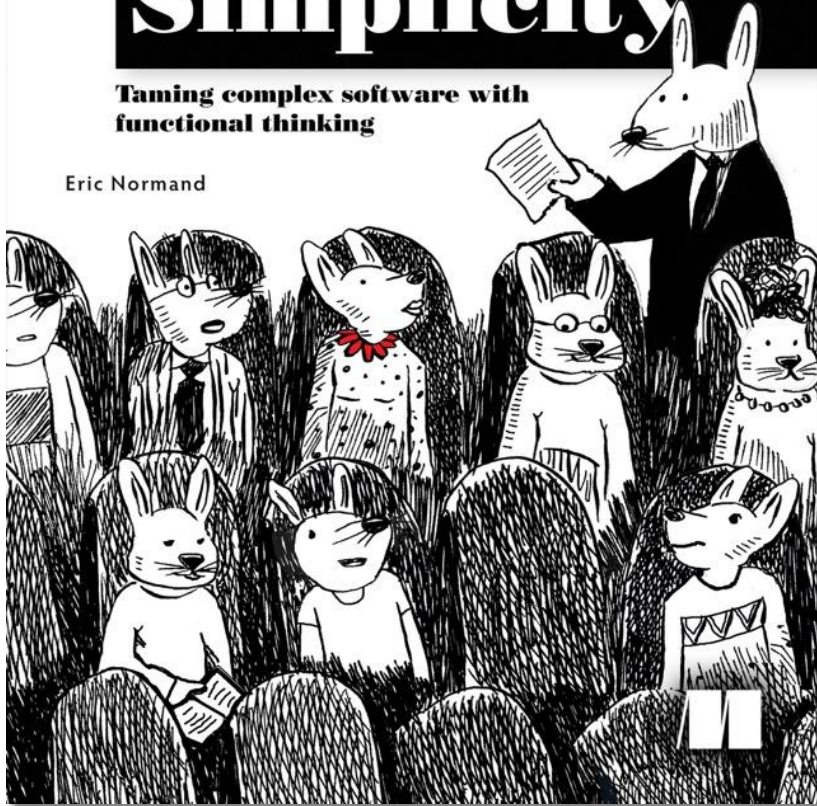
April 2020
Eric Normand

grokking

Simplicity

**Taming complex software with
functional thinking**

Eric Normand



lispcast.com/gs

TSSIMPLICITY (50% off)

What is functional programming?

Functional programming is a set of skills

Why functional programming?

Distributed systems

3 Levels of Functional Programming

1. Distinguishing Actions, Calculations, Data
2. First-class abstractions
3. Building composable models

Part 1: Distinguishing Actions, Calculations, Data

- What are actions, calculations, and data?
- How the spreading rule makes actions pernicious
- Recognizing implicit inputs and outputs to functions
- Immutability

stars indicate you need to be careful

```
{ "firstname": "Eric",  
  "lastname": "Normand" }
```

information about a person

```
* sendEmail(to, from, subject, body)
```

be careful with this one, it sends an email

```
sum(numbers)
```

a handy function for adding up some numbers

```
* saveUserDB(user)
```

once you save it to the db, other parts of the system can see it

```
string_length(str)
```

if you pass it the same string twice, it returns the same length twice

```
* getCurrentTime()
```

each time you call it, you get a different time

```
[1, 10, 2, 45, 3, 98]
```

just a list of numbers

actions depend on
when they are called



Actions

```
*sendEmail(to, from, subject, body)
```

```
*saveUserDB(user)
```

```
*getCurrentTime()
```



everything else does
not depend on when
it is called



```
{"firstname": "Eric",  
 "lastname": "Normand"}
```

```
sum(numbers)
```

```
string_length(str)
```

```
[1, 10, 2, 45, 3, 98]
```

actions depend on
when they are called



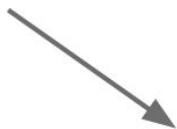
Actions

```
sendEmail(to, from, subject, body)
```

```
saveUserDB(user)
```

```
getCurrentTime()
```

calculations are
computations from
inputs to outputs



Calculations

```
sum(numbers)
```

```
string_length(str)
```

data is recorded
facts about events



Data

```
[1, 10, 2, 45, 3, 98]
```

```
{"firstname": "Eric",  
 "lastname": "Normand"}
```

Actions

- Actions
- Calculations
- Data

the process of doing something, typically to achieve an aim

- Typically: *effects* or *side-effects*
- Depend on *when you run them* or *how many times you run them*

Calculations

- Actions
- Calculations
- Data

computation from inputs to outputs

- Typically: *pure functions*
- Eternal — outside of time
- Referentially transparent

Data

- Actions
- Calculations
- Data

facts about events used as a basis for reasoning, discussion, or calculation

- Inert
- Serializable
- Requiring interpretation

This is pretty functional,
right? It's only got one
action. . . . Right?

```
function figurePayout(affiliate) {  
  var owed = affiliate.sales * affiliate.commission;  
  if(owed > 100) // don't send payouts less than $100  
    sendPayout(affiliate.bank_code, owed);  
}
```

we highlight the "one action"
Jenna is talking about

```
function affiliatePayout(affiliates) {  
  for(var a = 0; a < affiliates.length; a++)  
    figurePayout(affiliates[a]);  
}
```

```
function main(affiliates) {  
  affiliatePayout(affiliates);  
}
```



Jenna on dev team

```
function figurePayout(affiliate) {  
  var owed = affiliate.sales * affiliate.commission;  
  if(owed > 100) // don't send payouts less than $100  
    sendPayout(affiliate.bank_code, owed);  
}
```

```
function affiliatePayout(affiliates) {  
  for(var a = 0; a < affiliates.length; a++)  
    figurePayout(affiliates[a]);  
}
```

```
function main(affiliates) {  
  affiliatePayout(affiliates);  
}
```

we highlight
the action




```
function figurePayout(affiliate) {  
  var owed = affiliate.sales * affiliate.commission;  
  if(owed > 100) // don't send payouts less than $100  
    sendPayout(affiliate.bank_code, owed);  
}
```

the whole
function is an
action because
it calls an action

```
function affiliatePayout(affiliates) {  
  for(var a = 0; a < affiliates.length; a++)  
    figurePayout(affiliates[a]);  
}
```

```
function main(affiliates) {  
  affiliatePayout(affiliates);  
}
```

we highlight the line where
we call figurePayout(), a
known action

```
function figurePayout(affiliate) {  
  var owed = affiliate.sales * affiliate.commission;  
  if(owed > 100) // don't send payouts less than $100  
    sendPayout(affiliate.bank_code, owed);  
}
```

```
function affiliatePayout(affiliates) {  
  for(var a = 0; a < affiliates.length; a++)  
    figurePayout(affiliates[a]);  
}
```

highlight the whole function
since it calls an action

```
function main(affiliates) {  
  affiliatePayout(affiliates);  
}
```

called here

```
function figurePayout(affiliate) {  
  var owed = affiliate.sales * affiliate.commission;  
  if(owed > 100) // don't send payouts less than $100  
    sendPayout(affiliate.bank_code, owed);  
}
```

```
function affiliatePayout(affiliates) {  
  for(var a = 0; a < affiliates.length; a++)  
    figurePayout(affiliates[a]);  
}
```

```
function main(affiliates) {  
  affiliatePayout(affiliates);  
}
```

it's all actions



Oh, I see. I thought I had one action, but really, all of my code is actions.



Jenna on dev team

all of these functions are actions

```
function figurePayout(affiliate) {  
  var owed = affiliate.sales * affiliate.commission;  
  if(owed > 100) // don't send payouts less than $100  
    sendPayout(affiliate.bank_code, owed);  
}
```

```
function affiliatePayout() {  
  var affiliates = fetchAffiliates();  
  for(var a = 0; a < affiliates.length; a++)  
    figurePayout(affiliates[a]);  
}
```

```
function main() {  
  affiliatePayout();  
}
```

we're writing to a global variable, those are outputs

```
function calc_cart_total() {  
  shopping_cart_total = 0;  
  for(var i = 0; i < shopping_cart.length; i++) {  
    var item = shopping_cart[i];  
    shopping_cart_total += item.price;  
  }  
  set_cart_total_dom();  
  update_shipping_icons();  
  update_tax_dom();  
}
```

we are reading from a global variable, which is an input

we're changing the DOM, those are outputs

```
function calc_total() {output  
  shopping_cart_total = 0; input  
  for(var i = 0; i < shopping_cart.length; i++) {  
    var item = shopping_cart[i];  
    shopping_cart_total += item.price;  
  } output  
}
```

Current

```
function calc_cart_total() {  
  calc_total();  
  set_cart_total_dom();  
  update_shipping_icons();  
  update_tax_dom();  
}  
  
function calc_total() {  
  shopping_cart_total = 0;  
  for(var i = 0; i < shopping_cart.length; i++) {  
    var item = shopping_cart[i];  
    shopping_cart_total += item.price;  
  }  
}
```

move the assignment outside to the caller

operate on the local variable

Eliminated outputs

```
function calc_cart_total() {  
  shopping_cart_total = calc_total();  
  set_cart_total_dom();  
  update_shipping_icons();  
  update_tax_dom();  
}  
  
function calc_total() {  
  var total = 0;  
  for(var i = 0; i < shopping_cart.length; i++) {  
    var item = shopping_cart[i];  
    total += item.price;  
  }  
  + return total; +  
}
```

use the return value to set the global variable

convert it to a local variable

return the local

Copy-on-write


Mutating

```
function drop_first(array) {  
  array.shift();  
}
```

Copy-on-write

```
function drop_first(array) {  
+ var array_copy = Array.copy(array); +  
  array_copy.shift();  
+ return array_copy; +  
}
```

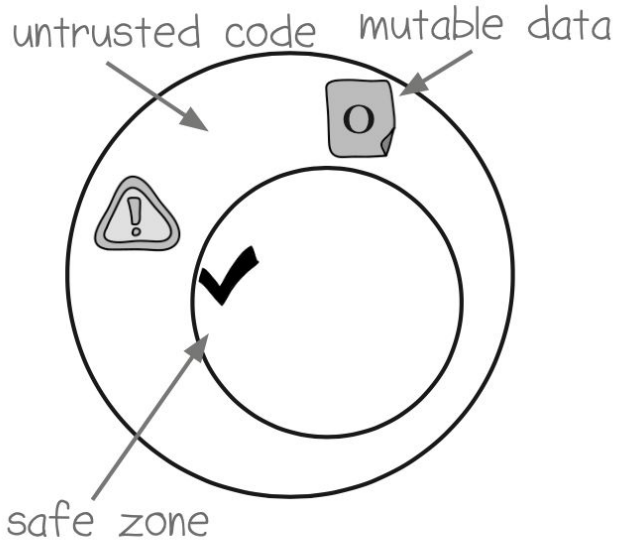
textbook copy-on-write here



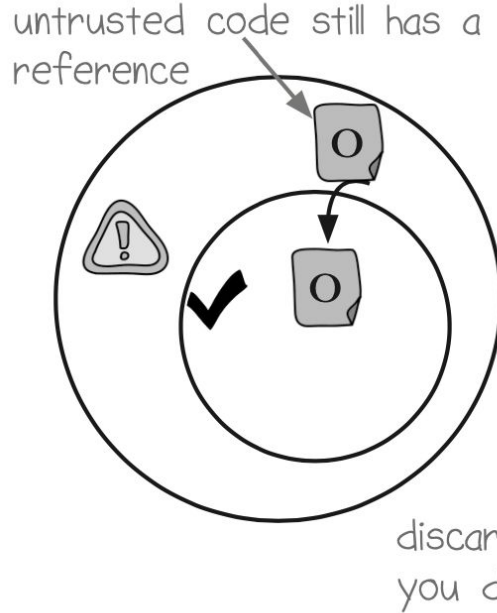
Copy-on-write rules

1. Make a copy
2. Modify the copy
3. Return the copy

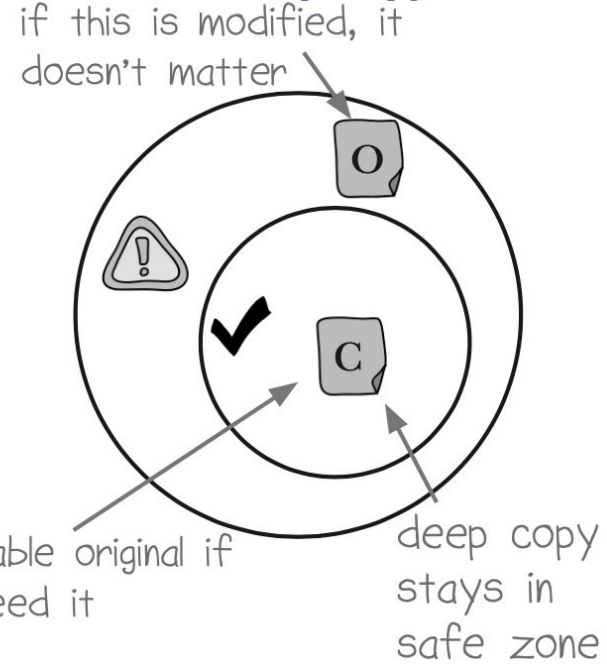
1. Data in untrusted code



2. Data enters the safe zone

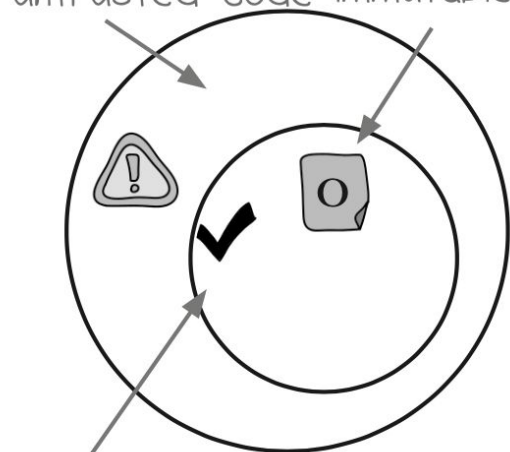


3. Make a deep copy



1. Data in safe zone

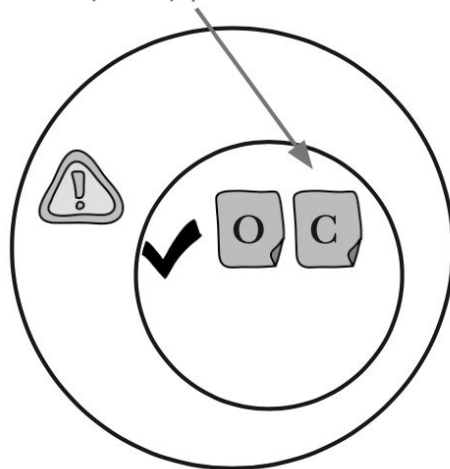
untrusted code immutable data



safe zone

2. Make a deep copy

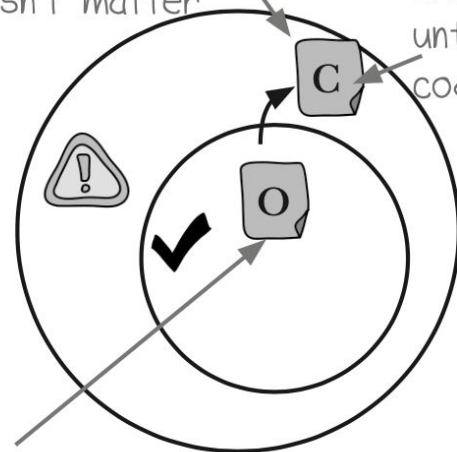
deep copy



3. Make a deep copy

if this is modified, it doesn't matter

deep copy enters untrusted code



original never leaves safe zone

Defensive copying rules

1. Make a **deep copy** as data **leaves** the safe zone
2. Make a **deep copy** as data **enters** the safe zone

Part 2: First-class abstractions

- First-class values help you abstract
- Higher-order iteration (map, filter, reduce) helps clarify your for loops
- Chaining map, filter, and reduce gives you data transformation in steps
- Timelines help you understand how your code might execute
- Higher-order actions help you control your execution

First-class values

```
function setPriceByName(cart, name, price) {  
  var item = cart[name];  
  var updatedItem = objectSet(item, 'price', price);  
  return objectSet(cart, name, updatedItem);  
}
```

```
function setQuantityByName(cart, name, quantity)  
function setDiscountByName(cart, name, discount)
```

First-class values

```
function setPriceByName(cart, name, price) {  
  var item = cart[name];  
  var updatedItem = objectSet(item, 'price', price);  
  return objectSet(cart, name, updatedItem);  
}
```

```
function setQuantityByName(cart, name, quantity)  
function setDiscountByName(cart, name, discount)
```

First-class values

```
function setFieldByName(cart, name, field, value) {  
  var item = cart[name];  
  var updatedItem = objectSet(item, field, value);  
  return objectSet(cart, name, updatedItem);  
}
```


Replace body with callback

```
for(var i = 0; i < foods.length; i++) {  
  var food = foods[i];  
  cook(food);  
  eat(food);  
}
```

```
for(var i = 0; i < dishes.length; i++) {  
  var dish = dishes[i];  
  wash(dish);  
  dry(dish);  
  putAway(dish);  
}
```

Replace body with callback

```
for(var i = 0; i < foods.length; i++) { ← before  
  var food = foods[i];  
  cook(food); ← body  
  eat(food);  
} ← after
```

```
for(var i = 0; i < dishes.length; i++) { ← before  
  var dish = dishes[i];  
  wash(dish); ← body  
  dry(dish);  
  putAway(dish); ← after  
} ← after
```

Replace body with callback

```
function forEach(array, f) {  
    for(var i = 0; i < array; i++) {  
        f(array[i]);  
    }  
}
```

```
forEach(foods, function(food) {  
    cook(food);  
    eat(food);  
});  
forEach(dishes, function(dish) {  
    wash(dish);  
    dry(dish);  
    putAway(dish);  
});
```

Chaining map, filter, reduce

```
// Average order of a good customer

var sum = 0;

var count = 0;

for(var i = 0; i < customers.length; i++) {
  var customer = customers[i];
  if(customer.purchases.length > 5) {
    for(var p = 0; p < customer.purchases.length; p++) {
      var purchase = customer.purchases[p];
      sum += purchase.total;
      count += 1;
    }
  }
}

var average = sum / count;
```

Chaining map, filter, reduce

```
// Average order of a good customer

var goodCustomers = filter(customers, function(customer) {
  return customer.purchases.length > 5;
});

var purchases = flatMap(goodCustomers, function(customer) {
  return customer.purchases;
});

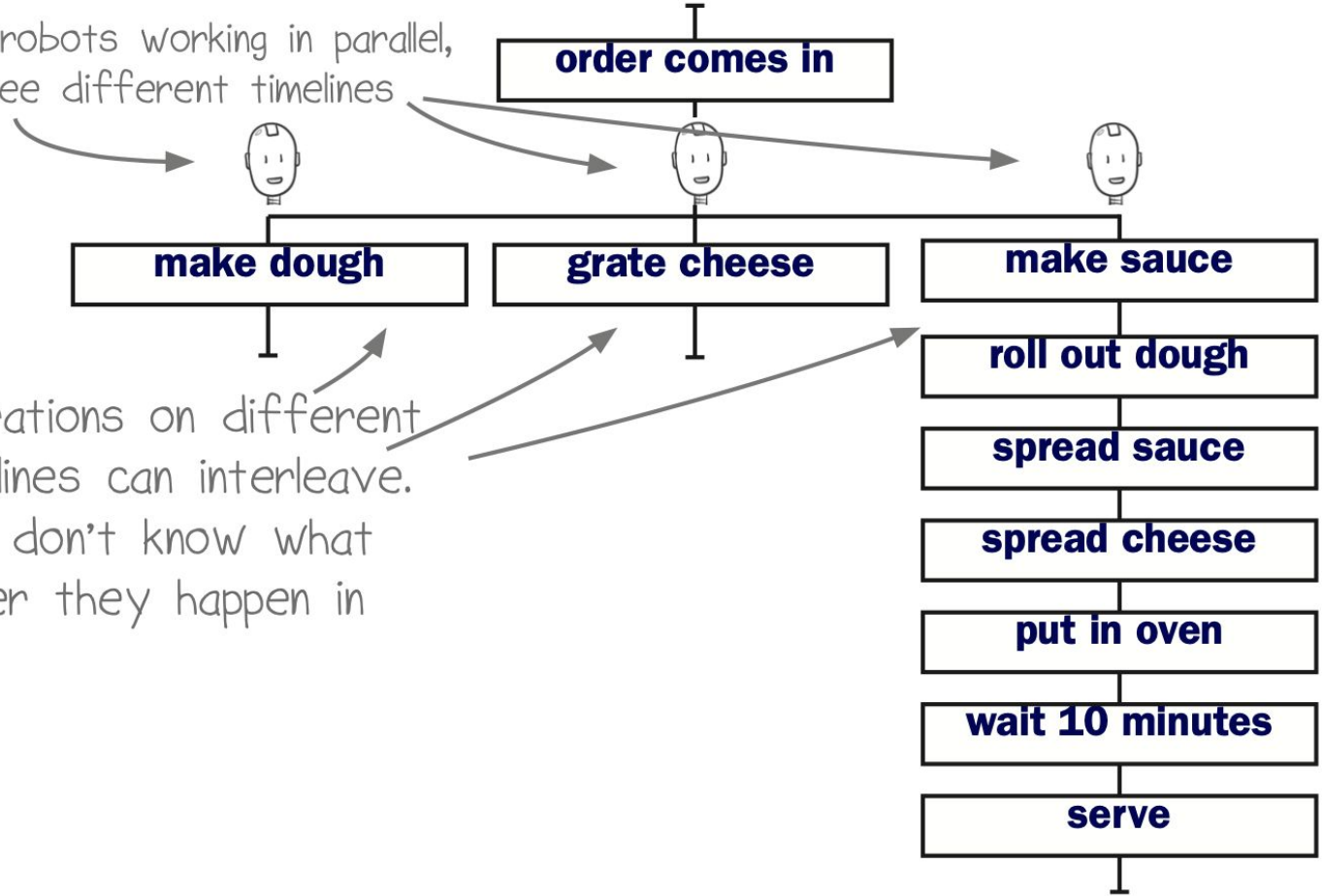
var purchasePrices = map(purchases, function(purchase) {
  return purchase.price;
});

var sum = reduce(purchasePrices, 0, function(a, b) { return a+b; });

var average = sum / purchasePrices.length;
```

Making a cheese pizza

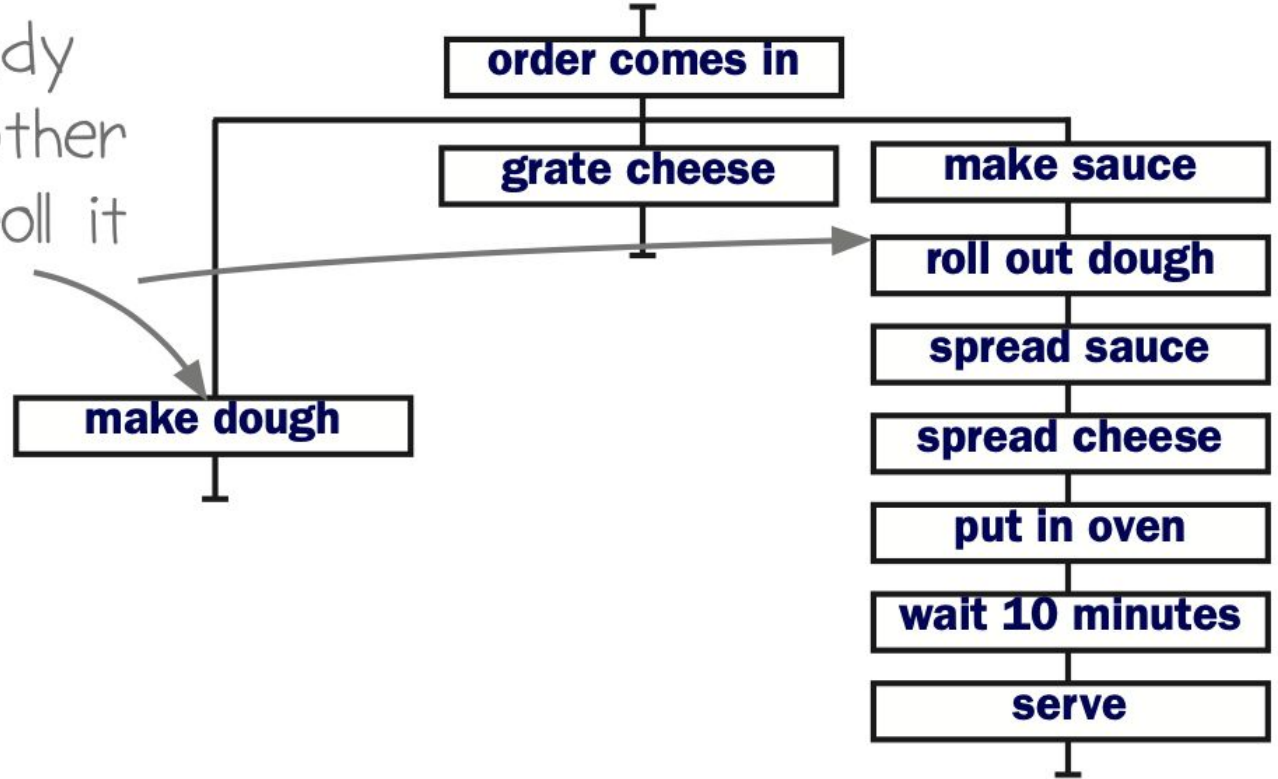
three robots working in parallel,
so three different timelines



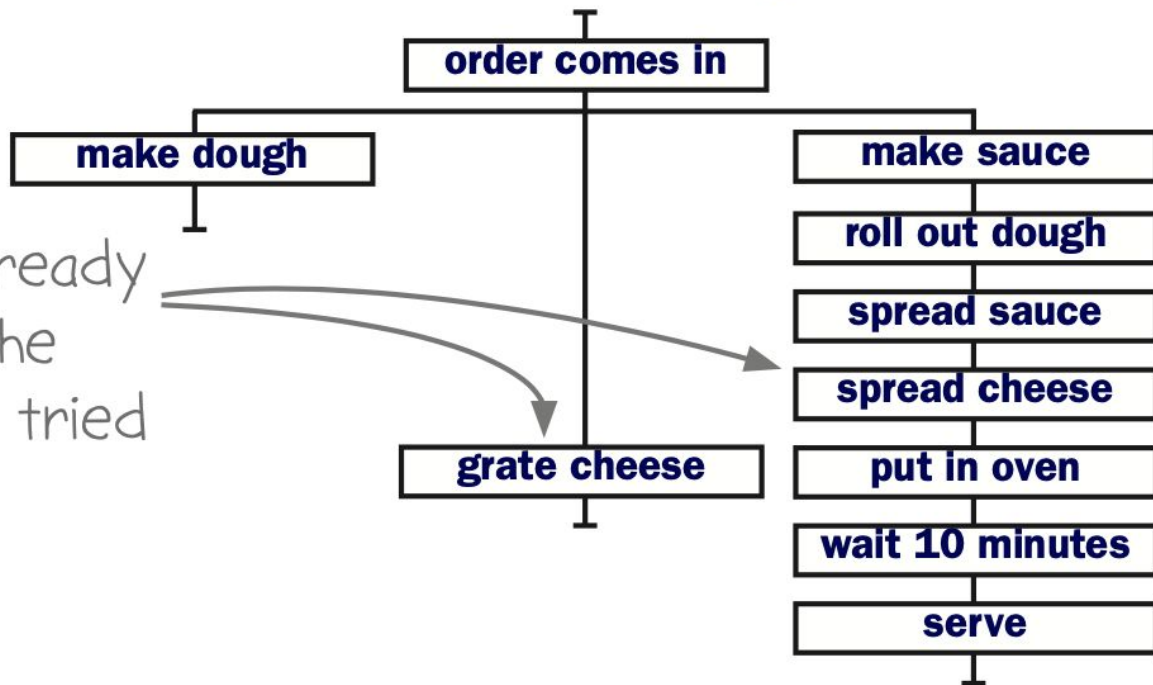
operations on different
timelines can interleave.
you don't know what
order they happen in

dough takes longer

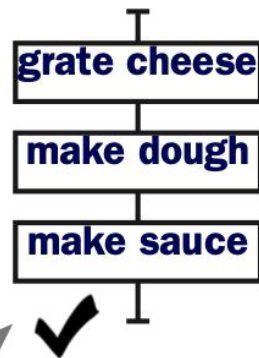
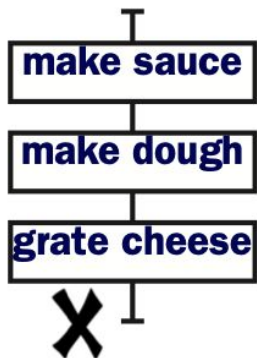
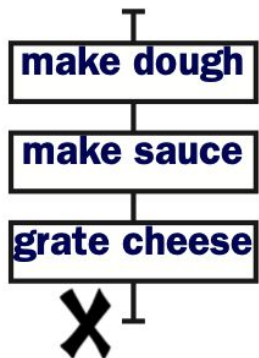
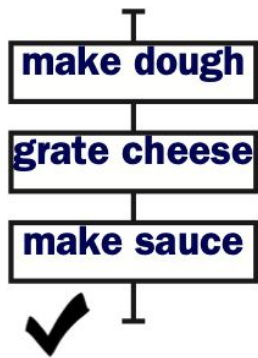
dough is not ready
until after the other
robot tried to roll it
out



cheese takes longer



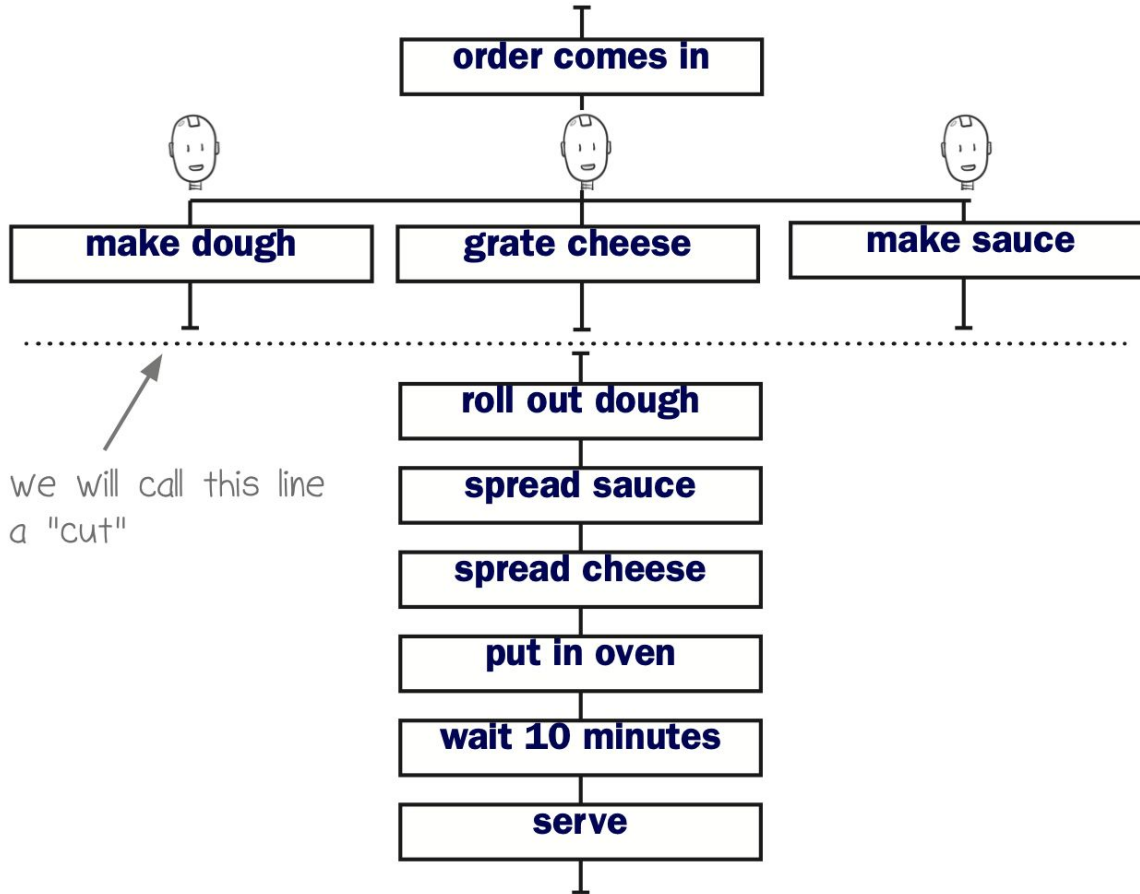
cheese not ready
until after the
other robot tried
to spread it



it only works when "make sauce" is last

Two grey arrows originate from the text. One arrow points from the word "last" to the checkmark of the first diagram. The other arrow points from the word "works" to the checkmark of the fifth diagram.

3-robot setup with coordination



Part 3: Building composable models

Modeling facts with data

The closure property lets us create infinitely complex expressions

Data can be interpreted in many way

A word about data modeling

Data modeling is next-level

You can get by without it

Just add complexity

Sum types, product types, combinatorial types

```

{
  "name": "pepperoni pizza",
  "ingredients": {
    "flour" : 2,
    "tomatoes" : 5,
    "cheese" : 1,
    "pepperoni": 1
  },
  "preparation": {
    "dough" : {"prepare": "pizza-dough recipe"},
    "sauce" : {"prepare": "tomato-sauce recipe"},
    "cheese" : {"action": "grate"},
    "pepperoni": {"action": "slice"}
  },
  "assembly": [
    {"operation": "rollOut",
     "argument": "dough"},
    {"operation": "spread",
     "argument": "sauce"},
    {"operation": "spread",
     "argument": "cheese"},
    {"operation": "spread",
     "argument": "pepperoni"},
    {"operation": "bake",
     "argument": "10 min"}
  ]
}

```

we can use objects because order of ingredients does not matter

we use an array to capture the order of steps

these "operations" refer to function names

a suitable representation of the data on this card as JSON. notice they have similar structure

Pepperoni Pizza

Ingredients

2 cups flour, 5 tomatoes, 1 cup cheese, 1 link of pepperoni

Preparation

Prepare pizza dough (see pizza dough card)

Grate cheese

Prepare tomato sauce (see tomato sauce card)

Slice pepperoni

Assembly

Roll out dough

Spread sauce

Spread cheese

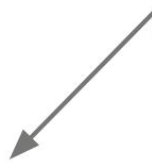
Spread pepperoni

Bake for 10 minutes

If I ever need to change something about the recipe, there's only one thing I need to change: this JSON.



the operations take
ingredient lists as
arguments



```
ingredientListPlus({"pepperoni" : 1, "cheese" : 2}, {"cheese" : 2, "flour" : 3})
```

```
{"pepperoni" : 1, "cheese" : 4, "flour" : 3}
```

the operations return
ingredient lists as return
values



```
ingredientsListPlus()  
ingredientsListMinus()  
ingredientsListTimes()  
ingredientsListDivide()  
ingredientsListSplit()
```



these five operations
all share the closure
property

Modeling a Starbucks coffee

Lots of options (tall, venti, grande, decaf, dark, medium, light, blonde, soy, espresso shot, almond, vanilla, etc, etc)

How to represent all of these that allows

- Calculate price
- Produce the coffee
- Track popularity
- Send it to a central location

Modeling a Starbucks coffee

```
{  
  "size" : "grande",  
  "brew" : "decaf",  
  "additions" : [  
    "soy",  
    "almond"  
  ]  
}
```

Modeling a Starbucks coffee

```
{  
  "size" : "grande",  
  "brew" : "decaf",  
  "additions" : {  
    "soy": 1,  
    "almond": 2  
  }  
}
```

Modeling a coffee editing process

Several different editing operations possible

Represent the intentions of the user to allow for

- Undo/redo
- Representation in previous coffee model

Modeling a coffee editing process

```
[  
  ["set size", "venti"],  
  ["set size", "grande"],  
  ["add", "mocha"],  
  ["set brew", "dark"],  
  ...  
]
```

Where to find me

Blog: lispcast.com

Podcast: lispcast.com/podcast

Clojure & functional programming video courses: purelyfunctional.tv

Clojure Newsletter: purelyfunctional.tv/newsletter

Twitter: [@ericnormand](https://twitter.com/ericnormand)